



LIQUIDITY PROVIDER ADDENDUM

A Participant wishing to apply to become a Liquidity Provider should complete and execute this Liquidity Provider Addendum (“**LP Addendum**”).

Participant Information:

Company Name:	
Address of Recipient:	
Date of execution of the Participant Agreement:	

1. ADDITIONAL TERMS:

This LP Addendum is supplemental to and forms part of an agreement, as amended from time to time, between BATS Trading Limited, trading under the name of BATS Chi-X Europe, and the Participant referred to in the table above (“**Participant Agreement**”).

By executing this LP Addendum, the Participant:

- (i) agrees to comply with the requirements of the BATS Liquidity Provider Program, as set out in the Rules and the Participant Manual. The Participant agrees and acknowledges that BATS may modify the requirements of the BATS Liquidity Provider Program by providing electronic notice to the Participant and posting any amended requirements on the Website;
- (ii) accepts that BATS will monitor the Participant’s activities under the BATS Liquidity Provider Program and may determine that the Participant has not satisfied the requirements of the BATS Liquidity Provider Program. If such determination is made, the Participant acknowledges that BATS may suspend or terminate the Participant’s recognition as a Liquidity Provider on BATS; and
- (iii) agrees that notwithstanding any language to the contrary in the Participant Agreement between BATS and the Participant, including, but not limited to, the provisions on “Non disclosure and publicity” of the Participant Agreement, BATS may identify the Participant as a Liquidity Provider pursuant to the BATS Liquidity Provider Program on the Website and in other media. The Participant accepts that such disclosure of the Participant’s identity may include reference to the specific securities for which the Participant acts as a Liquidity Provider.

2. GENERAL

The terms and conditions of the Participant Agreement shall remain in full force and effect as amended by this LP Addendum. This LP Addendum shall not otherwise limit or reduce the Participant’s duties and/or obligations or responsibilities under the Participant Agreement, including, without limit, the Participant’s obligations to pay fees in connection with the access to and use of the Services by the Participant.

In the event of any conflict between the provisions of this LP Addendum, the BATS Rule Book, the Participant Agreement, the BATS Participant Manual, and any Sponsored Access Addendum and other addenda to the Participant Agreement (where relevant) and subject to clause 1(iii) of this LP Addendum, the following order of precedence shall apply: (i) the BATS Rule Book; (ii) the Participant Agreement; (iii) this LP Addendum; (iv) any Sponsored Access Addendum; (v) any other addenda to the Participant Agreement which will rank *pari passu*, unless specifically agreed otherwise; and (vi) the BATS Participant Manual.

In this LP Addendum defined terms shall have the same meaning ascribed to them in the Participant Agreement and/or the Rules.



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Once completed, please return this LP Addendum, via post or email, to:

BATS Trading Limited
6th floor, 10 Lower Thames Street,
London
EC3R 6AF, UK

Email: ParticipantServicesEurope@batstrading.com

Duly authorised for and behalf of the Participant.

By (printed):

Signature:

Title:

Date:

Accepted by and on behalf of BATS Trading Limited.

By (printed):

Signature:

Title:

Date: